UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

40158

05/31/2007

WOODS FULLER SHULTZ & SMITH P.C. ATTN: JEFFREY A. PROEHL P.O. BOX 5027

SIOUX FALLS, SD 57117

**EXAMINER** MAI, TRI M ART UNIT

PAPER NUMBER

DATE MAILED: 05/31/2007

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/713.693	11/14/2003	Emmitt Carroll	00-1840	2020	

TITLE OF INVENTION: UMBRELLA/CUP HOLDER DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$0	\$700	08/31/2007

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### **HOW TO REPLY TO THIS NOTICE:**

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

indicated unless correcte maintenance fee notificat	ed below or directed oth	ig the ierwise	e in Block 1, by (a	a) specifying a new c	orres	pondence address;	and/o	(b) indicating a separ	ould be completed where correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPONDE	ENCE ADDRESS (Note: Use BI	ock 1 for	any change of address)		Fee(	s) Transmittal. Thi	s certif	icate cannot be used for	domestic mailings of the or any other accompanying at or formal drawing, must
40158	7590 05/31	/2007						of Mailing or Transn	
ATTN: JEFFRE' P.O. BOX 5027		SMIT	ГН Р.С.		I her State addr trans	reby certify that this Postal Service we essed to the Mail mitted to the USP?	is Fec( tith suf Stop TO (57	s) Transmittal is being ficient postage for first ISSUE FEE address (1) 273-2885, on the date.	deposited with the United telass mail in an envelope above, or being facsimile te indicated below.
SIOUX FALLS,	SD 57117								(Depositor's name)
									(Signature)
					<u> </u>				(Date)
APPLICATION NO.	FILING DATE			FIRST NAMED INVEN	TOR		АТТО	RNEY DOCKET NO.	CONFIRMATION NO.
10/713,693	11/14/2003			Emmitt Carroll				00-1840	2929
TITLE OF INVENTION:	: UMBRELLA/CUP HO	LDER	DEVICE						
APPLN. TYPE	SMALL ENTITY	10	SUE FEE DUE	PUBLICATION FEE D	1112	PREV. PAID ISSUE	PEE	TOTAL FEE(0) DUE	DATE DUE
nonprovisional	YES	13	\$700	\$0	OE	\$0	ree	TOTAL FEE(S) DUE	DATE DUE
· · · · · · · · · · · · · · · · · · ·					$\neg$	<b>\$</b> 0		\$700	08/31/2007
EXAM	····		ART UNIT	CLASS-SUBCLASS					
MAI, T			3781	224-190000					
1. Change of corresponde CFR 1.363).			`	2. For printing on t	p to	3 registered patent		eys 1	
	ondence address (or Cha 1/122) attached.			(2) the name of a single titil (naving as a member a					
Tree Address" indi PTO/SB/47; Rev 03-03 Number is required.	cation (or "Fee Address' 2 or more recent) attach	' Indica ed. Us	ation form e of a Customer	registered attorney 2 registered patent listed, no name wil	attor	neys or agents. If r	es of up no nam	e is 3	
3. ASSIGNEE NAME AT	ND RESIDENCE DATA	тов	E PRINTED ON T	THE PATENT (print o	r typ	e)			
PLEASE NOTE: Unlo recordation as set forth	ess an assignee is identi in 37 CFR 3.11. Comp	fied be	elow, no assignee of this form is NO	data will appear on the factor of the contract	ne pa	tent. If an assigne	e is id	lentified below, the do	cument has been filed for
(A) NAME OF ASSIC				(B) RESIDENCE: (C		-	OUNT	RY)	
Please check the appropri	ate assignee category or	catego	ries (will not be pr	inted on the patent):		Individual 🔲 Co	rporati	on or other private gro	up entity Government
4a. The following fee(s) a  ☐ Issue Fee	re submitted:		· 4b			se first reapply an	y prev	iously paid issue fee s	hown above)
	o small entity discount p	ermitte	ed)	A check is enclos  Payment by credi		1. Form PTO-2038	is atta	ched	
	of Copies			The Director is he	reby	authorized to charge	ge the i	required fee(s), any def	iciency, or credit any extra copy of this form).
5. Change in Entity Stat	us (from status indicated	l above	<del></del>	overpayment, to E	сроз	nt Account Numbe	<u>' ——</u>	(chelose all	extra copy of this form).
a. Applicant claims	SMALL ENTITY statu	s. See	37 CFR 1.27.					TITY status. See 37 CF	
NOTE: The Issue Fee and interest as shown by the r	l Publication Fee (if requeecords of the United Sta	iired) v tes Pat	will not be accepted ent and Trademark	from anyone other the Office.	an th	e applicant; a regis	stered a	ttorney or agent; or the	assignee or other party in
Authorized Signature	***					Date			
Typed or printed name									
					Or re	etain a benefit by the	ie publ	ic which is to file (and	by the USPTO to process)
submitting the completed this form and/or suggestic Box 1450, Alexandria, Vi Alexandria, Virginia 223	application form to the ons for reducing this builting in 22313-1450. DC 13-1450.	USPT den, sl NOT	O. Time will vary nould be sent to the SEND FEES OR (	depending upon the interpretation of the complete of the compl	ndivi fficer S TC	dual case. Any corr, U.S. Patent and THIS ADDRESS	mment Fraden . SENI	s on the amount of time hark Office, U.S. Depa of TO: Commissioner for	by the USPTO to process) gathering, preparing, and the you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450,

PTOL-85 (Rev. 07/06) Approved for use through 05/31/2007.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/713,693	11/14/2003	Emmitt Carroll	00-1840		
40158 73	590 05/31/2007		EXAM	INER	
WOODS FULLE	ER SHULTZ & SMIT	MAI, TRI M			
ATTN: JEFFREY	A. PROEHL	ART UNIT	PAPER NUMBER		
P.O. BOX 5027 SIOUX FALLS, S	D 57117	•	3781 DATE MAILED: 05/31/2007	7	

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 511 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 511 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.